



Law Firm Name: \_\_\_\_\_

1. Name of attorney(s) practicing in this area: \_\_\_\_\_

2. Please complete the following information for the firm's top five (5) largest Estates/Wills/Trust clients.

Attorney	Client	Value	Percent of Firm Billings	Years as a Client of the Firm

3. Please describe what services are provided on behalf of the client: \_\_\_\_\_

4. Does the firm, or any member of the firm, have the authority to write checks, make investments, provide investment advice or have discretionary control of funds? ..... ☐ Yes ☐ No

*If yes, is the authority limited and in writing?* ..... ☐ Yes ☐ No

5. Does the firm, or any member in the firm, receive any kind of compensation (other than legal fees) from the purchase or sale of investments to or on behalf of any Estate or Trust? ..... ☐ Yes ☐ No

6. Explain how the firm handles tax advice: \_\_\_\_\_

7. Does any attorney serve as Executor/Administrator/Personal Representative or Trustee? ..... ☐ Yes ☐ No

*If yes, please provide the name of attorney, name of client, value and services provided on the firm's letterhead.*

8. Does the firm utilize engagement or scope of service letters to clearly define the services that are to be provided? ..... ☐ Yes ☐ No

9. Does the firm, or any member of the firm, receive gifts or bequests from Estates and Trusts clients? ..... ☐ Yes ☐ No

For residents of all states except CO: Any person who knowingly and with intent to defraud any insurance company or other person, files an application for insurance containing any materially false information or conceals, for the purpose of misleading, information concerning any fact material thereto or knowingly helps with intent to defraud, commits a fraudulent insurance act, which may be a crime and may subject the person to criminal and civil penalties.

Colorado: It is unlawful to knowingly provide false, incomplete, or misleading facts or information to an insurance company for the purpose of defrauding or attempting to defraud the company. Penalties may include imprisonment, fines, denial of insurance and civil damages. Any insurance company or agent of an insurance company who knowingly provides false, incomplete, or misleading facts or information to a policyholder or claimant for the purpose of defrauding or attempting to defraud the policyholder or claimant with regard to a settlement or award payable from insurance proceeds shall be reported to the Colorado Division of Insurance within the Department of Regulatory Agencies.

Professional liability insurance offered through Attorney Shield is underwritten by Professional Solutions Insurance Company (doing business in California as PSIC Insurance Company).

Signature/Title of Law Firm Representative

Date

Soliciting Agent

Agency Name



**Professional**  
SOLUTIONS

INSURANCE  
COMPANY

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**Questions:**  
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