

**Law Firm Name:** \_\_\_\_\_

1. Please complete the following information for each lawyer in the firm who currently has any outside interests, including equity interests, employment and/or director or officer positions held.

Lawyer	Organization	Nature of Business	For Profit Or Non-Profit?	Client of Firm?	Legal Services Provided	Position Held by Lawyer	% of Equity Held	% of Total Firm Billings
				<input type="checkbox"/> Yes <input type="checkbox"/> No				
				<input type="checkbox"/> Yes <input type="checkbox"/> No				
				<input type="checkbox"/> Yes <input type="checkbox"/> No				

2. Does the law firm disclose in writing to its clients all actual or potential conflicts of interest that may result from any activities noted above? .....  Yes  No

3. Has any lawyer received any compensation (other than billable hours) for the sale or promotion of investments, financing or tax shelter? .....  Yes  No  
*If yes, please provide a written explanation.* \_\_\_\_\_

4. Does the law firm have a written policy or procedure regarding the acceptance of equity interest in exchange for legal services? .....  Yes  No  
*If no, please provide a written explanation.* \_\_\_\_\_

For residents of all states except CO: Any person who knowingly and with intent to defraud any insurance company or other person, files an application for insurance containing any materially false information or conceals, for the purpose of misleading, information concerning any fact material thereto or knowingly helps with intent to defraud, commits a fraudulent insurance act, which may be a crime and may subject the person to criminal and civil penalties.

Colorado: It is unlawful to knowingly provide false, incomplete, or misleading facts or information to an insurance company for the purpose of defrauding or attempting to defraud the company. Penalties may include imprisonment, fines, denial of insurance and civil damages. Any insurance company or agent of an insurance company who knowingly provides false, incomplete, or misleading facts or information to a policyholder or claimant for the purpose of defrauding or attempting to defraud the policyholder or claimant with regard to a settlement or award payable from insurance proceeds shall be reported to the Colorado Division of Insurance within the Department of Regulatory Agencies.

Professional liability insurance offered through Attorney Shield is underwritten by Professional Solutions Insurance Company (doing business in California as PSIC Insurance Company).

\_\_\_\_\_  
Signature/Title of Law Firm Representative

\_\_\_\_\_  
Date

\_\_\_\_\_  
Soliciting Agent

\_\_\_\_\_  
Agency Name